

# Evidence for Significant Through-Space and Through-Bond Electronic Coupling in the 1,4-Diphenylcyclohexane-1,4-diyl Radical Cation Gained by Absorption Spectroscopy and DFT Calculations

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**Abstract:** Photoinduced single-electron-transfer promoted oxidation of 2,5-diphenyl-1,5-hexadiene by using *N*-methylquinolinium tetrafluoroborate/biphenyl co-sensitization takes place with the formation of an intense electronic absorption band at 476 nm, which is attributed to the 1,4-diphenylcyclohexane-1,4-diyl radical cation. The absorption maximum ( $\lambda_{\text{ob}}$ ) of this transient occurs at a longer wavelength than is expected for either the cumyl radical or the cumyl cation components. Substitution at the *para* positions of the phenyl groups in this radical cation by CH<sub>3</sub>O, CH<sub>3</sub>, F, Cl, and Br leads to an increasingly larger redshift

of  $\lambda_{\text{ob}}$ . A comparison of the  $\rho$  value, which was obtained from a Hammett plot of the electronic transition energies of the radical cations versus  $\sigma^+$ , with that for the cumyl cation shows that the substituent effects on the transition energies for the 1,4-diarylcyclohexane-1,4-diyl radical cations are approximately one half of the substituent effects on the transition energies of the cumyl cation. The observed substitu-

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ent-induced redshifts of  $\lambda_{\text{ob}}$  and the reduced sensitivity of  $\lambda_{\text{ob}}$  to substituent changes are in accordance with the proposal that significant through-space and -bond electronic interactions exist between the cumyl radical and the cumyl cation moieties of the 1,4-diphenylcyclohexane-1,4-diyl radical cation. This proposal gains strong support from the results of density functional theory (DFT) calculations. Moreover, the results of time-dependent DFT calculations indicate that the absorption band at 476 nm for the 1,4-diphenylcyclohexane-1,4-diyl radical cation corresponds to a SOMO-3→SOMO transition.

## Introduction

Observation of short-lived transients by absorption spectroscopy is a powerful methodology to elucidate the mechanisms of photoinduced electron-transfer (PET) reactions.<sup>[1]</sup> Usually the assignment of structures to the transients is accomplished by comparing the observed absorption spectra with those of intermediates or structurally related intermediates generated in independent ways. However, the absorption spectra of bifunctional radical cations,<sup>[2–5]</sup> which are generated in a variety of electron-transfer reactions, often do not correspond to those associated with either of the component functionalities. In most of these cases, the spectra have dual characteristics that correspond to both the radical and the cation subunits. There is great interest in radical cations that have mixed spectral properties of this type because this phenomenon might lead to new functions of the radical cations.<sup>[6]</sup>

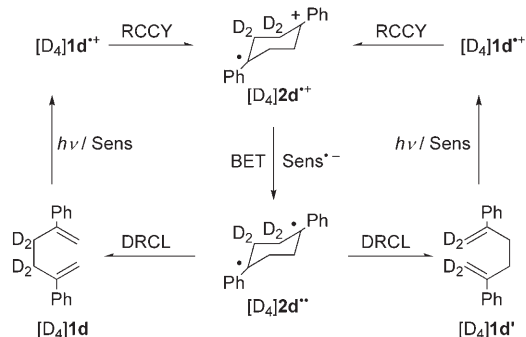
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We have previously reported that the PET-induced degenerate Cope rearrangement of 2,5-diphenyl-3,3,4,4-tetra-deuterio-1,5-hexadiene ( $[D_4]1d$ ) occurs by a radical-cation cyclization (RCCY)–diradical cleavage (DRCL) mechanism (Scheme 1).<sup>[7]</sup> The key intermediate in this pathway, the 1,4-



Scheme 1. PET-induced degenerate Cope rearrangement of 2,5-diphenyl-3,3,4,4-tetra-deuterio-1,5-hexadiene ( $[D_4]1d$ ). Sens=sensitizer; RCCY=radical cation cyclization; DRCL=diradical cleavage; BET=back electron transfer.

diphenylcyclohexane-1,4-diyl radical cation ( $2d^{+\bullet}$ ), was observed spectroscopically. By using nanosecond-resolved laser flash photolysis (LFP) and the *N*-methylquinolinium tetrafluoroborate ( $NMQ^+BF_4^-$ )/biphenyl (BP) co-sensitization technique, the absorption maximum ( $\lambda_{ob}$ ) of  $2d^{+\bullet}$  was observed at 476 nm<sup>[8]</sup> in dichloromethane (Figure 1a). Interest-

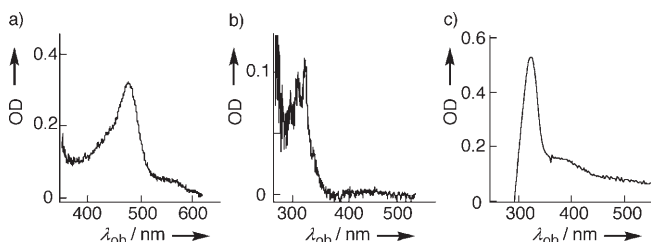
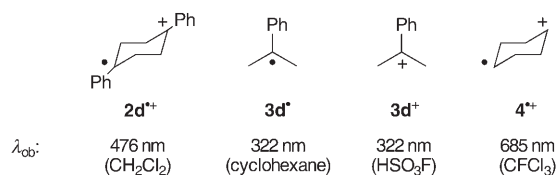


Figure 1. Comparison of the absorption spectra of a)  $2d^{+\bullet}$  in  $CH_2Cl_2$  at ambient temperatures, b)  $3d^\bullet$  in cyclohexane at ambient temperature, and c)  $3d^+$  in  $HSO_3F$  at  $-78^\circ C$ .

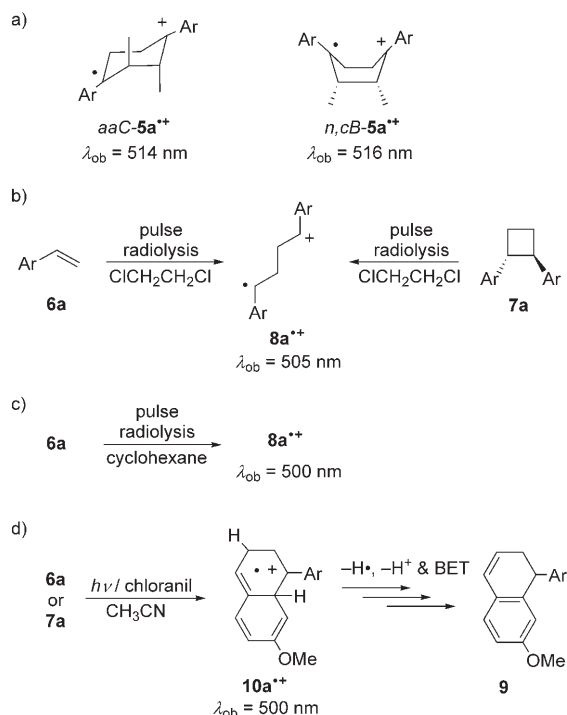
ingly, the  $\lambda_{ob}$  of  $2d^{+\bullet}$  appears at an unusually long wavelength in comparison with those of its chromophoric components, the cumyl radical ( $3d^\bullet$ ,  $\lambda_{ob}=322$  nm in cyclohexane, Figure 1b)<sup>[9,10]</sup> and the cumyl cation ( $3d^+$ ,  $\lambda_{ob}=322$  nm in  $HSO_3F$ , Figure 1c).<sup>[11,12]</sup>

Williams reported earlier that the parent cyclohexane-1,4-diyl radical cation ( $4^{+\bullet}$ ) in its chair conformation has a  $\lambda_{ob}$  at



685 nm in  $CFCl_3$ .<sup>[13]</sup> Moreover, he proposed that a through-bond (TB) interaction takes place in  $4^{+\bullet}$  and that the observed absorption band corresponds to a singly occupied molecular orbital (SOMO)→lowest unoccupied molecular orbital (LUMO) transition. At first sight, it might appear that a similar TB interaction could be occurring in  $2d^{+\bullet}$  and that a SOMO→LUMO transition might account for the absorption band at 476 nm for this intermediate. However, the dramatically shorter wavelength maximum, relative to that of nonphenyl-substituted  $4^{+\bullet}$ , strongly indicates that another interaction and transition are involved in  $2d^{+\bullet}$ .

In a similar manner, electronic coupling has been suggested to explain the  $\lambda_{ob}$  of the chair and boat conformations of the 1,4-bis(4-methoxyphenyl)-2,3-dimethylcyclohexane-1,4-diyl radical cations (*aaC-5a^{+\bullet}* and *ncB-5a^{+\bullet}*, Scheme 2a)<sup>[2]</sup>

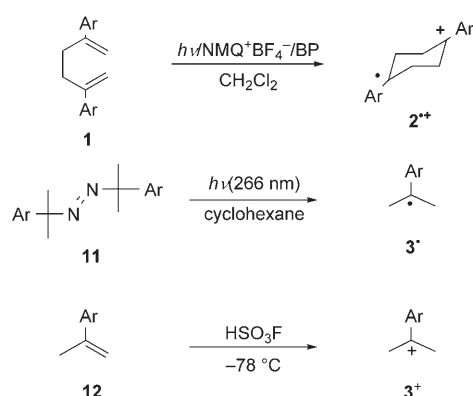


Scheme 2. a: Ar = 4- $CH_3OC_6H_4$ .

and the 1,4-diarylbutane-1,4-diyl radical cation ( $8^{+\bullet}$ , Scheme 2b).<sup>[3,4]</sup> Takamuku et al. observed an unusually long wavelength absorption band at  $\lambda_{ob}=505$  nm when 4-methoxystyrene (**6a**) and 1,2-bis(4-methoxyphenyl)cyclobutane (**7a**) were independently subjected to pulse radiolysis in 1,2-dichloroethane.<sup>[3]</sup> These workers assigned the band to  $8a^{+\bullet}$  and proposed the possibility that an electronic interaction was taking place. Steenken et al. also observed an abnormally long wavelength absorption band at 500 nm for  $8a^{+\bullet}$  (Scheme 2c) and its aryl analogues, generated by pulse radiolysis of **6a**.<sup>[4]</sup> In addition, a transient with a  $\lambda_{ob}=500$  nm was observed to form in PET reactions of **6a** or **7a** (Scheme 2d).<sup>[5]</sup> Interestingly, this band was assigned to the cyclic hexatriene radical cation derivative  $10a^{+\bullet}$ , formed by intramolecular cycloaddition of  $8a^{+\bullet}$ , owing to the fact that

dihydronaphthalene derivative **9** is the product of this reaction.

The confusion and controversy surrounding the assignment of transients to long wavelength absorption bands and the characterization of electronic structures of 1,4-radical cations, especially the aryl-substituted derivatives, led us to carry out further investigations on the 1,4-diphenylcyclohexane-1,4-diyl radical cation. To gain further insight into the electronic interactions taking place in this system and the electronic transition responsible for the absorption band, we examined the effects of substituents on  $\lambda_{\text{ob}}$  for several *p*-phenyl-substituted derivatives of **2<sup>•+</sup>**, along with those of the cumyl radical **3<sup>•</sup>** and cumyl cation **3<sup>+</sup>**. These transients were generated from 2,5-diaryl-1,5-hexadienes (**1**),  $\alpha,\alpha'$ -azocumene derivatives (**11**), and  $\alpha$ -methylstyrene derivatives (**12**), respectively (Scheme 3). In addition, we performed theoretic-

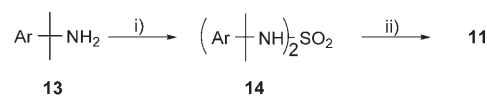


Scheme 3. Generation of *p*-phenyl-substituted derivatives of **2<sup>•+</sup>**, the cumyl radical **3<sup>•</sup>**, and the cumyl cation **3<sup>+</sup>** from 2,5-diaryl-1,5-hexadienes (**1**),  $\alpha,\alpha'$ -azocumene derivatives (**11**), and  $\alpha$ -methylstyrene derivatives (**12**), respectively. Ar = 4-XC<sub>6</sub>H<sub>4</sub>; X = CH<sub>3</sub>, F, H, Cl, Br, and I for **a**, **b**, **c**, **d**, **e**, **f**, and **g**, respectively.

cal calculations on these species by using density functional theory (DFT) and time-dependent (TD) DFT methods. We observed that *p*-phenyl substitution in **2d<sup>•+</sup>** with CH<sub>3</sub>O, CH<sub>3</sub>, F, Cl, and Br groups caused an increasingly large redshift of  $\lambda_{\text{ob}}$ . A Hammett plot of the relative transition energies,  $\Delta E_{\text{ob}}(\mathbf{2}^{\bullet+})$ , versus  $\sigma^+$  gave two intersecting straight lines with negative and positive  $\rho$  values. Similar substituent effects were observed for  $\Delta E_{\text{ob}}(\mathbf{3}^+)$ . Interestingly, a comparison of the  $\rho$  values for **2<sup>•+</sup>** and **3<sup>+</sup>** indicated that the effects of substituents on  $\Delta E_{\text{ob}}(\mathbf{2}^{\bullet+})$  are only half of those on  $\Delta E_{\text{ob}}(\mathbf{3}^+)$ . The observed redshift and smaller effects of substituents for **2<sup>•+</sup>** are successfully reproduced in the results of the TD-DFT calculations carried out at the (U)B3LYP/cc-pVDZ level. A detailed analysis of the molecular orbitals (MOs), computed by DFT calculations, and a consideration of orbital interaction theory leads to the reasonable proposal that through-space (TS) and TB interactions take place in the chair conformation of **2d<sup>•+</sup>** and that a SOMO-3  $\rightarrow$  SOMO transition is associated with the absorption band at 476 nm.<sup>[14]</sup> Below, we present detailed results of this study and a discussion of the mechanistic features involved in TS and TB electronic couplings in **2d<sup>•+</sup>**.

## Results

**Syntheses of 1, 11, and 12:** The syntheses of the 4-methoxyphenyl, 4-methylphenyl, phenyl, and 4-chlorophenyl derivatives of 2,5-diaryl-1,5-hexadiene **1** have been described previously.<sup>[7a]</sup> The 4-fluorophenyl, 4-bromophenyl, and 4-iodophenyl derivatives (**1c**, **1f**, and **1g**) were prepared by Wittig methylenation of the corresponding 1,4-diaryl-1,4-butadienes. The four  $\alpha,\alpha'$ -azocumene derivatives **11b–e** were prepared from the corresponding amines (**13**) through sulfamides (**14**) by a slight modification of the procedures described by Timberlake and co-workers<sup>[15,16]</sup> (Scheme 4).  $\alpha$ -Methylstyrenes **12a–g** were generated by Wittig olefination of the corresponding acetophenones.



Scheme 4. Preparation of  $\alpha,\alpha'$ -azocumene derivatives **11b–e** from the corresponding amines **13**. Reagents: i)  $\text{SO}_2\text{Cl}_2$ ,  $\text{Et}_3\text{N}$ ,  $\text{CH}_2\text{Cl}_2$ ; ii)  $\text{NaH}$ ,  $t\text{BuOCl}$ , THF. Ar = 4-XC<sub>6</sub>H<sub>4</sub>; X = CH<sub>3</sub>, F, H, and Cl for **b**, **c**, **d**, and **e**, respectively.

**Electron-donating properties of 1:** The respective half-wave oxidation potentials ( $E_{1/2}^{\text{ox}}$ ) determined for **1a–g** are +1.27, +1.54, +1.92, +1.68, +1.71, +1.76, and +1.62 V versus SCE in acetonitrile. These values indicate that exothermic hole transfer from  $\text{BP}^{\bullet+}$ , which is generated by electron-transfer from BP ( $E_{1/2}^{\text{ox}} = +1.90\text{ V}$ ) to the excited state of  $\text{NMQ}^+\text{BF}_4^-$ , to these dienes will take place to generate the corresponding radical cations **1<sup>•+</sup>** that are the precursors of the 1,4-diaryl-1,4-cyclohexane-1,4-diyl radical cations **2<sup>•+</sup>**.

**Effects of substituents on  $\lambda_{\text{ob}}$  for 2<sup>•+</sup>:** The absorption spectra of **2a–g<sup>•+</sup>** were recorded by independently subjecting solutions of the precursor dienes **1a–g** in dichloromethane to nanosecond-resolved LFP (308 nm) by using the  $\text{NMQ}^+\text{BF}_4^-/\text{BP}$  co-sensitization technique. As was previously observed for the diphenyl derivative **2d<sup>•+</sup>** ( $\lambda_{\text{ob}} = 476\text{ nm}$ ), **2a–c<sup>•+</sup>** and **2e–g<sup>•+</sup>** have  $\lambda_{\text{ob}}$  values of 522, 496, 473, 499, 509, and 539 nm, respectively.<sup>[17]</sup> Therefore, the incorporation of substituents at the *para* positions of the phenyl groups results in a redshift of  $\lambda_{\text{ob}}$ . The lone exception to this is the fluorine-substituted derivative **2c<sup>•+</sup>**. The  $\lambda_{\text{ob}}$  values observed for **2<sup>•+</sup>** are listed in Table 1, together with the relative transition energies ( $\Delta E_{\text{ob}}$ ) based on the absolute transition energies ( $hc/\lambda_{\text{ob}}$ ) of the phenyl derivative **2d<sup>•+</sup>** [Eq. (1)].

$$\Delta E_{\text{ob}} = hc \left[ \frac{1}{\lambda_{\text{ob}}} - \frac{1}{\lambda_{\text{ob}}(\text{phenyl})} \right] \quad (1)$$

As the plot shown in Figure 2 demonstrates, an excellent correlation exists between  $\Delta E_{\text{ob}}(\mathbf{2}^{\bullet+})$  for **2a–f<sup>•+</sup>** and Creary's substituent constants,  $\sigma_{\text{C}}^+$ .<sup>[18]</sup> The linear relationship between

Table 1. Substituent constants ( $\sigma_c^+$  and  $\sigma^+$ ), absorption maxima ( $\lambda_{ob}$ ), and relative transition energies ( $\Delta E_{ob}$ ) of  $2^{2+}$ ,  $3^+$ , and  $3^{3+}$ .

X	$2^{2+}$				$3^+$		$3^{3+}$	
	$\sigma_c^{+[a]}$	$\sigma^+$	$\lambda_{ob}^{[b]}$ [nm]	$\Delta E_{ob}$ [eV]	$\lambda_{ob}^{[c]}$ [nm]	$\Delta E_{ob}$ [eV]	$\lambda_{ob}^{[d]}$ [nm]	$\Delta E_{ob}$ [eV]
<b>a</b> : CH <sub>3</sub> O	0.24	-0.78	522	-0.230	<sup>[e]</sup>		362 <sup>[f]</sup>	-0.425
<b>b</b> : CH <sub>3</sub>	0.11	-0.31	496	-0.105	322	0	338 <sup>[g]</sup>	-0.182
<b>c</b> : F	-0.08	-0.07	473	0.017	<sup>[h]</sup>		324	-0.024
<b>d</b> : H	0	0	476 <sup>[i]</sup>	0	322 <sup>[j]</sup>	0	322 <sup>[k]</sup>	0
<b>e</b> : Cl	0.12	0.11	499	-0.120	318	0.048	348 <sup>[l]</sup>	-0.288
<b>f</b> : Br	0.14	0.15	509	-0.169			364	-0.444
<b>g</b> : I	<sup>[m]</sup>	0.14	539	-0.304			422	-0.912

[a] See ref. [18]. [b] In CH<sub>2</sub>Cl<sub>2</sub>. [c] In cyclohexane. [d] In HSO<sub>3</sub>F. [e] The value of  $\lambda_{ob}(3a^+)$  was reported to be 290 nm (NaY) in ref. [19]. [f] See ref. [20]. [g] See ref. [21]. [h] Not detectable. [i] See ref. [8]. [j] See ref. [9]. [k] See ref. [11]. [l] See ref. [22]. [m] Not available.

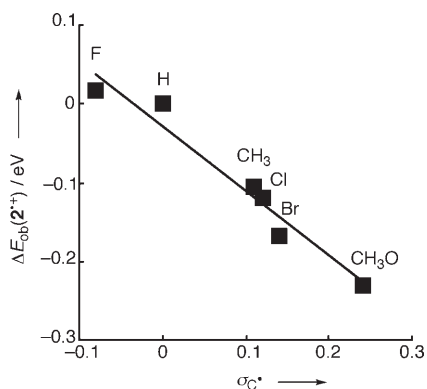


Figure 2. Correlation between  $\Delta E_{ob}(2^{2+})$  and  $\sigma_c^+$ .

$\Delta E_{ob}(2^{2+})$  and  $\sigma_c^+$  is expressed in Equation (2):<sup>[23]</sup>

$$\Delta E_{ob}(2^{2+}) \approx -0.82\sigma_c^+ \text{ for } 2a-f^{2+} \quad (2)$$

A plot of  $\Delta E_{ob}$  for  $2a-f^{2+}$  versus the substituent constant  $\sigma^+$  consists of two intersecting lines (Figure 3).<sup>[24]</sup> The finding that  $\Delta E_{ob}(2^{2+})$  correlates with both the  $\sigma_c^+$  and  $\sigma^+$  parameters is consistent with the dual radical and cationic nature of  $2^{2+}$ . The two linear relationships between  $\Delta E_{ob}(2^{2+})$  and  $\sigma^+$  are given by Equation (3) and (4):<sup>[23]</sup>

$$\Delta E_{ob}(2^{2+}) \approx 0.32\sigma^+ \text{ for } 2a-d^{2+} \quad (3)$$

$$\Delta E_{ob}(2^{2+}) \approx -1.12\sigma^+ \text{ for } 2d-f^{2+} \quad (4)$$

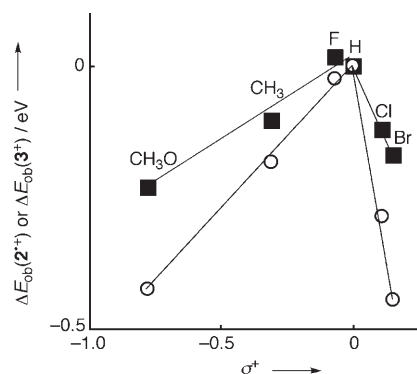


Figure 3. Correlation between experimental  $\Delta E_{ob}(2^{2+})$  (squares) or  $\Delta E_{ob}(3^{3+})$  (circles) and  $\sigma^+$ .

The correlation between  $\Delta E_{ob}$  for  $2a-d^{2+}$  and  $\sigma^+$  is associated with a  $\rho$  value of 0.32. In contrast, a negative  $\rho$  value of -1.12 is found for the correlation between  $2d-f^{2+}$  and  $\sigma^+$ .<sup>[24]</sup> The dual phase nature of substituent effects on the transition energies was also observed in our recent work with geminally diaryl-substituted trimethylenemethane (TMM)-type radical cations and diarylethyl cations.<sup>[25]</sup> In these cases, Cl and Br substituents acted as electron-donating groups in governing the electronic transitions.

**Effects of substituents on the  $\lambda_{ob}$  for  $3^+$  and  $3^{3+}$ :** To gain information about how the effects of substituents on  $\lambda_{ob}$  for  $2^{2+}$  observed reflect the odd nature of the electron- and charge-partitioning in the component cumyl moieties, the cumyl radical  $3^+$  and cation  $3^{3+}$  were generated independently from **11** and **12**, respectively (Scheme 3). Azocumenes **11b-e** were subjected to LFP (266 nm) under direct irradiation conditions in degassed cyclohexane at ambient temperature.<sup>[10b]</sup> Although no clear transient absorption was detected when **11c** was irradiated, LFP of **11b**, **11d**, and **11e** generated transients with  $\lambda_{ob}$  at 322, 322,<sup>[9]</sup> and 318 nm, respectively, which were assigned to **3b<sup>+</sup>**, **3d<sup>+</sup>**, and **3e<sup>+</sup>**, respectively (Table 1). Clearly, no significant effects of substituents on  $\lambda_{ob}$  for  $3^+$  were observed.

In contrast, substituents have a significant effect on the  $\lambda_{ob}$  of  $3^{3+}$ . These transients were generated from **12a-g** in HSO<sub>3</sub>F at -78 °C by using a slight modification of the procedure described by Sekuur and Kranenburg.<sup>[12a]</sup> The  $\lambda_{ob}$  values for the cumyl cations **3a-g<sup>+</sup>** formed in this manner are listed in Table 1. Cations **3a-g<sup>+</sup>** exhibited intense absorption bands with the  $\lambda_{ob}$  at 362,<sup>[20]</sup> 338,<sup>[21]</sup> 324, 322,<sup>[11]</sup> 348,<sup>[22]</sup> 364, and 422 nm, respectively. As expected, substituents at the *para* positions of the phenyl groups in these transients give rise to redshifts of the  $\lambda_{ob}$ . The most significant observation is that the effects of substituent on  $\lambda_{ob}$  for **3a-f<sup>+</sup>** are much greater than those on the absorption maxima of **2a-f<sup>+</sup>**. This result is best seen by viewing the plot of  $\Delta E_{ob}(3^{3+})$ , based on  $hc/\lambda_{ob}(3d^+)$ , versus  $\sigma^+$ , displayed in Figure 3. As observed for **2a-f<sup>+</sup>**, the Hammett plot for **3a-f<sup>+</sup>** is comprised of two intersecting straight lines, expressed by Equations (5) and (6),<sup>[23]</sup> which correspond to  $\rho$  values of 0.55 and -2.89, respectively.

$$\Delta E_{\text{ob}}(\mathbf{3}^+) \approx 0.55\sigma^+ \text{ for } \mathbf{3a-d}^+ \quad (5)$$

$$\Delta E_{\text{ob}}(\mathbf{3}^+) \approx -2.89\sigma^+ \text{ for } \mathbf{3d-f}^+ \quad (6)$$

The differences between the magnitudes of the effects of substituents on  $\lambda_{\text{ob}}$  for  $\mathbf{2}^+$  and  $\mathbf{3}^+$  were evaluated by using Equations (7) and (8). The  $\rho(\mathbf{2}^+)/\rho(\mathbf{3}^+)$  ratio is approximately 0.6 when comparing  $\mathbf{2a-d}^+$  and  $\mathbf{3a-d}^+$  and 0.4 when comparing  $\mathbf{2d-f}^+$  and  $\mathbf{3d-f}^+$ . These findings indicate that the substituent effects on the electronic transition energies of  $\mathbf{2}^+$  are reduced by approximately half compared with those on the transition energies of  $\mathbf{3}^+$  in both the  $\sigma^+ < 0$  and  $\sigma^+ > 0$  regions.

$$\frac{\rho(\mathbf{2}^+)}{\rho(\mathbf{3}^+)} \approx \frac{\Delta E_{\text{ob}}(\mathbf{2}^+)}{\Delta E_{\text{ob}}(\mathbf{3}^+)} = 0.6 \text{ for } \mathbf{2a-d}^+ \text{ and } \mathbf{3a-d}^+ \quad (7)$$

$$\frac{\rho(\mathbf{2}^+)}{\rho(\mathbf{3}^+)} \approx \frac{\Delta E_{\text{ob}}(\mathbf{2}^+)}{\Delta E_{\text{ob}}(\mathbf{3}^+)} = 0.4 \text{ for } \mathbf{2d-f}^+ \text{ and } \mathbf{3d-f}^+ \quad (8)$$

## Discussion

The explanation for the long wavelength absorption band of the parent cyclohexane-1,4-diyl radical cation  $\mathbf{4}^+$  based on a TB interaction and SOMO  $\rightarrow$  LUMO transition proposed by Williams<sup>[13]</sup> cannot easily be applied to the long wavelength absorption band of  $\mathbf{2d}^+$ . In fact, phenyl substitution of this radical cation (as in  $\mathbf{2d}^+$ ) caused the  $\lambda_{\text{ob}}$  to shift to shorter wavelengths in spite of the extension of the conjugate system. Thus, another interaction and/or electronic transition must be taking place in  $\mathbf{2d}^+$ . We believe that the key to the unusually large wavelength shift of  $\lambda_{\text{ob}}$  for  $\mathbf{2d}^+$  lies in orbital interactions that take place between the cumyl radical and the cumyl cation components.

**DFT and TD-DFT calculations on  $\mathbf{2}^+$  and  $\mathbf{3}^+$ :** To gain an insight into the factors governing the electronic transitions in  $\mathbf{2d}^+$ , DFT and TD-DFT calculations were carried out. The results show that  $\mathbf{2d}^+$  and  $\mathbf{3d}^+$ , as well as the *para*-substituted counterparts  $\mathbf{2a-f}^+$  and  $\mathbf{3a-f}^+$ , have typical chair and planar conformations, respectively, at the (U)B3LYP/cc-pVDZ level of theory (Figure 4).<sup>[14]</sup>

The electronic transition wavelengths ( $\lambda_{\text{cal}}$ ) and oscillator strengths ( $f$ ) in the optimized structures of  $\mathbf{2a-f}^+$  and  $\mathbf{3a-f}^+$  were calculated by using TD-(U)B3LYP/cc-pVDZ, as shown in Figure 5 and Table 2. The TD-DFT calculations successfully reproduced the absorption bands observed in the visible region for  $\mathbf{2}^+$  and in the UV region for  $\mathbf{3}^+$ . The calculations suggested that the electronic transitions of  $\mathbf{2}^+$  and  $\mathbf{3}^+$  correspond to a SOMO- $X \rightarrow$  SOMO ( $X=1$  for  $\mathbf{2a}^+$ ,  $\mathbf{2c}^+$ ,  $\mathbf{2e}^+$ , and  $\mathbf{2f}^+$  and  $X=3$  for  $\mathbf{2b}^+$  and  $\mathbf{2d}^+$ ) and a HOMO- $X \rightarrow$  LUMO transition ( $X=1$  for  $\mathbf{3a}^+$ ,  $\mathbf{3c}^+$ ,  $\mathbf{3e}^+$ , and  $\mathbf{3f}^+$  and  $X=0$  for  $\mathbf{3b}^+$  and  $\mathbf{3d}^+$ ). Hammett plots of the calculated relative transition energies of  $\mathbf{2}^+$  and  $\mathbf{3}^+$  versus  $\sigma^+$  are shown in Figure 6. Excellent correlations are seen in the

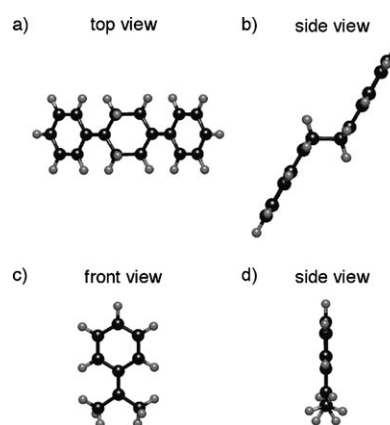


Figure 4. Optimized molecular geometries of  $\mathbf{2d}^+$  obtained by using UB3LYP/cc-pVDZ, a) top and b) side views, and of  $\mathbf{3d}^+$  obtained by using B3LYP/cc-pVDZ, c) front and d) side views.

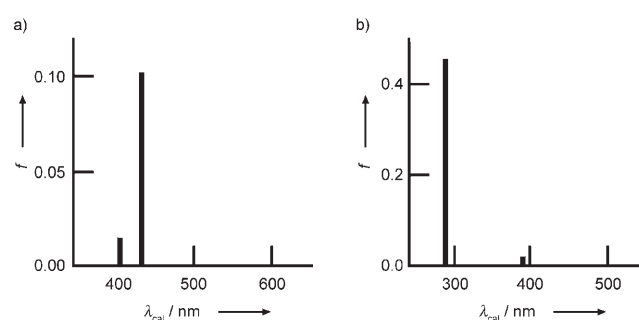


Figure 5. Electronic transitions of a)  $\mathbf{2d}^+$  and b)  $\mathbf{3d}^+$  calculated by using TD-(U)B3LYP/cc-pVDZ.

Table 2. Substituent constants ( $\sigma^+$ ), calculated electronic transition wavelengths ( $\lambda_{\text{cal}}$ ), oscillator strengths ( $f$ ), and relative transition energies ( $\Delta E_{\text{cal}}$ ) for  $\mathbf{2}^+$  and  $\mathbf{3}^+$ .

X	$\sigma^+$	$\mathbf{2}^+$			$\mathbf{3}^+$		
		$\lambda_{\text{cal}}^{[a]}$ [nm]	$f$	$\Delta E_{\text{cal}}$ [eV]	$\lambda_{\text{cal}}^{[a]}$ [nm]	$f$	$\Delta E_{\text{cal}}$ [eV]
a: CH <sub>3</sub> O	-0.78	492	0.14	-0.355	330	0.61	-0.551
b: CH <sub>3</sub>	-0.31	451	0.12	-0.129	303	0.58	-0.209
c: F	-0.08	441	0.10	-0.067	292	0.49	-0.066
d: H	0.00	431	0.10	0.00	288	0.45	0.00
e: Cl	0.12	482	0.12	-0.302	325	0.56	-0.491
f: Br	0.14	510	0.11	-0.446	354	0.52	-0.801

[a] Calculated at the UB3LYP/cc-PVDZ level of theory.

plots of the data for both  $\mathbf{2}^+$  and  $\mathbf{3}^+$ , which consist of two intersecting straight lines represented by Equations (9)–(12):

$$\Delta E_{\text{cal}}(\mathbf{2}^+) \approx 0.43\sigma^+ \text{ for } \mathbf{2a-d}^+ \quad (9)$$

$$\Delta E_{\text{cal}}(\mathbf{2}^+) \approx -2.93\sigma^+ \text{ for } \mathbf{2d-f}^+ \quad (10)$$

$$\Delta E_{\text{cal}}(\mathbf{3}^+) \approx 0.70\sigma^+ \text{ for } \mathbf{3a-d}^+ \quad (11)$$

$$\Delta E_{\text{cal}}(\mathbf{3}^+) \approx -5.16\sigma^+ \text{ for } \mathbf{3d-f}^+ \quad (12)$$

These plots and equations closely match those obtained



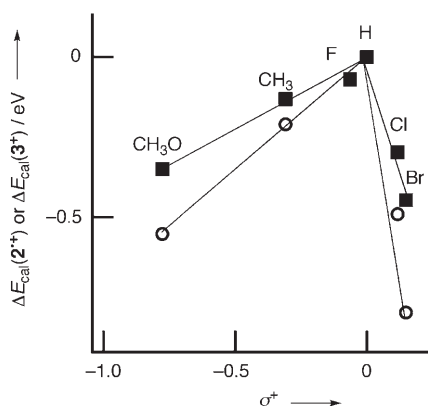


Figure 6. Correlation between computed  $\Delta E_{\text{cal}}(2^+)$  (■) or  $\Delta E_{\text{cal}}(3^+)$  (○) and  $\sigma^+$ .

from the experimental data (Figure 3). Furthermore, the results of the TD-DFT calculations also predict that the magnitude of the substituent effects in  $2^+$  are about half of those in  $3^+$  [Eqs (7) and (8)]. The ratio of  $\rho(2^+)/\rho(3^+)$ , ob-

tained as the ratio of  $\Delta E_{\text{cal}}(2^+)/\Delta E_{\text{cal}}(3^+)$ , is approximately 0.6 for  $2^+$  and  $3^+$  [Eqs (13) and (14)]. These findings indicate that the calculations at the (U)B3LYP/cc-pVDZ level of theory are good enough to accurately describe the electronic states of the radical cation and cation systems.

$$\frac{\rho(2^+)}{\rho(3^+)} \approx \frac{\Delta E_{\text{cal}}(2^+)}{\Delta E_{\text{cal}}(3^+)} = 0.6 \text{ for } 2\mathbf{a-d}^+ \text{ and } 3\mathbf{a-d}^+ \quad (13)$$

$$\frac{\rho(2^+)}{\rho(3^+)} \approx \frac{\Delta E_{\text{cal}}(2^+)}{\Delta E_{\text{cal}}(3^+)} = 0.6 \text{ for } 2\mathbf{d-f}^+ \text{ and } 3\mathbf{d-f}^+ \quad (14)$$

**MO diagram of  $2\mathbf{d}^+$ :** To elucidate the cause of the redshift of the absorption band, we analyzed the MOs of  $2\mathbf{d}^+$  in detail. A plausible explanation for the computed MOs of  $2\mathbf{d}^+$  arises by using two sets of MOs for  $3\mathbf{d}^+$  calculated with B3LYP/cc-pVDZ and a C–C  $\sigma$  bond for the cyclohexane skeleton (Figure 7). Note that the MOs of  $3\mathbf{d}^+$  are replaced by those of  $3\mathbf{d}^+$  for simplicity. In the optimized chair conformation of  $2\mathbf{d}^+$ , the cumyl radical and the cumyl cation components are sufficiently close (a C–C bond length between the two benzyl carbon atoms of ca. 2.9 Å) to enable TS electronic coupling with each other.

The TS interaction is less efficient than the TB interaction (see below), but cannot be omitted because an antiperiplanar approach of the cumyl cation and the cumyl radical resulted in a small change in the MOs in a preliminary calculation. For the TS interaction,  $\varphi_1$  with bonding character and  $\varphi_2$  with antibonding character are composed of two  $\chi_1$  MOs of  $3\mathbf{d}^+$  (or  $3\mathbf{d}^+$ ). Similarly,  $\varphi_5$  with bonding character and  $\varphi_6$  with antibonding character are given by two  $\chi_3$  MOs. Note that the two p orbitals at the benzylic position of  $3\mathbf{d}^+$  and  $3\mathbf{d}^+$  interact with each other owing to the restricted nature of the chair conformation. The TS interaction between two  $\chi_2$  MOs can be omitted because the orbital coefficients at the benzylic carbon atoms are negligible. Furthermore, in this system electronic coupling between the benzyl radical and the benzyl cation components through two  $\sigma$  bonds of the cyclohexane skeleton (the so-called TB interaction) plays a crucial role. Because of the orbital symmetry of the two benzylic positions,  $\varphi_1$

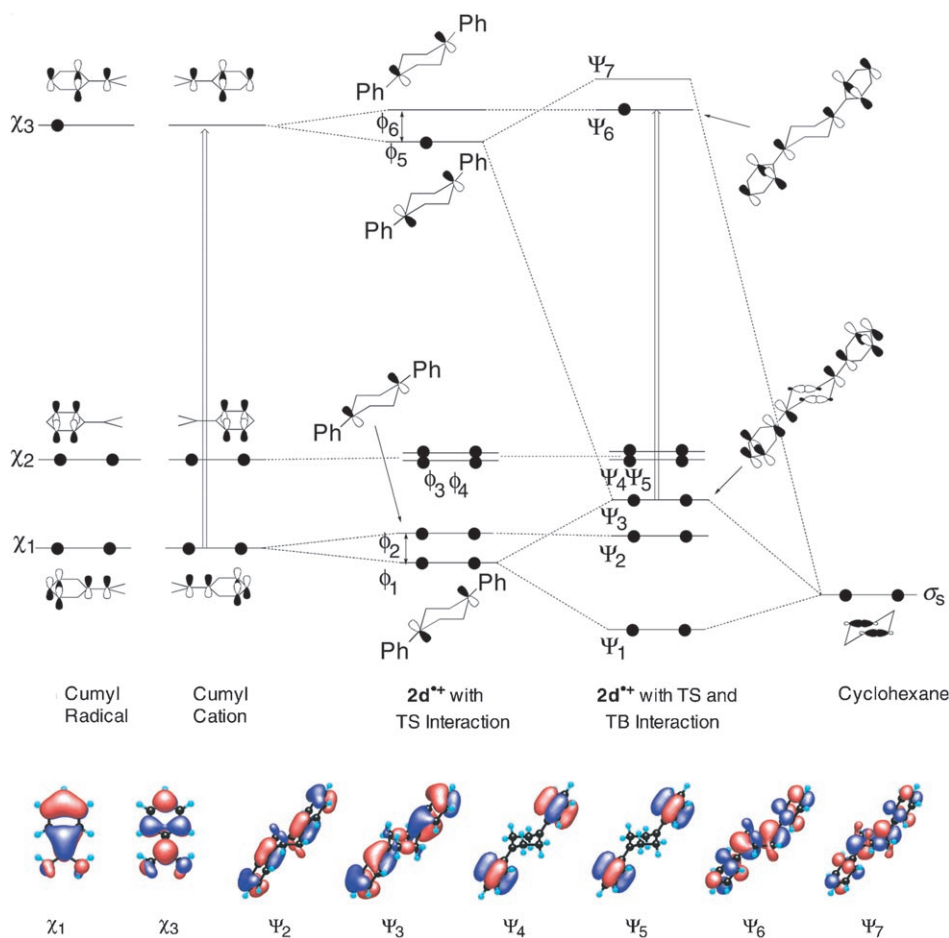


Figure 7. Conceptual representation that shows the TS and TB interactions in  $2\mathbf{d}^+$  and some important MOs calculated by using (U)B3LYP/cc-pVDZ.

and  $\varphi_5$  interact with  $\sigma_s$ , but  $\varphi_2$  and  $\varphi_6$  do not. As a result,  $\Psi_1$  with a bonding character and  $\Psi_3$  and  $\Psi_7$  with an antibonding character are produced. MOs of  $\varphi_3$  and  $\varphi_4$  do not interact with  $\sigma_s$  for the same reason as described above for  $\chi_2$ .

The computed MOs, shown in Figure 7, provide strong support for an interpretation of the electronic transition properties of  $2\mathbf{d}^+$  based on a combination of TS and TB orbital interactions. Note that both of these types of interactions cause a large rise in the  $\Psi_3$ (SOMO-3) level compared with the  $\chi_1$ (HOMO-1) level, whereas the TS interaction alone causes a slight rise in the  $\Psi_6$ (SOMO) level compared with the  $\chi_3$ (LUMO) level. In this sense, the TB interaction is more dominant than the TS interaction. As a result, the energy gap between  $\Psi_3$  and  $\Psi_6$  becomes smaller than that between  $\chi_1$  and  $\chi_3$  which govern the respective electronic transitions in  $2\mathbf{d}^+$  and  $3\mathbf{d}^+$ . Therefore, the surprising redshift of the absorption band of  $2\mathbf{d}^+$  compared with that of  $3\mathbf{d}^+$  is in reasonable accord with these considerations.

Note that the orbital distributions of the cumyl subunit of  $\Psi_3$  and  $\Psi_6$  closely resemble those of  $\chi_1$  and  $\chi_3$ , respectively (Figure 7). This finding strongly suggests that the absorption band at 476 nm for  $2\mathbf{d}^+$  and the absorption band at 322 nm for  $3\mathbf{d}^+$  essentially correspond to the same type of electronic transition. The former is not as a result of the destruction of forbidden transitions of the benzyl or cumyl radical skeletons, which are known to appear weakly at around 450 to 500 nm.

**Theory for orbital interactions in  $2\mathbf{d}^+$ :** Because the electronic transitions associated with the major absorption bands of  $2\mathbf{d}^+$  and  $3\mathbf{d}^+$  essentially take place between the same types of MOs, one would expect that the effect of substituents on these electronic transitions should be of the same magnitude in both systems. However, the experimental results and TD-DFT calculations both suggest that the magnitude of the substituent effects on the transition energies of  $2^+$  should be about half of those on the transition energies of  $3^+$ . To reconcile this difference, substitution-induced changes in the energies of the MOs were evaluated by using orbital interaction theory and the simple Hückel method.<sup>[26]</sup> The energy ( $E$ ) of the  $\Psi_3$ (SOMO-3) of  $2\mathbf{d}^+$  is represented by Equation (15), in which  $e_A$ ,  $e_B$ , and  $h_{AB}$  are the energies of  $\varphi_1$ ,  $\sigma_s$ , and the resonance integral between  $\varphi_1$  and  $\sigma_s$ , respectively (Figure 8). Accordingly, the energies  $E+D$  of the corresponding derivatives  $2\mathbf{a-c}^+$  and  $2\mathbf{e-f}^+$  can be represented by Equation (16), in which  $D$  is the increment in the energy of  $\Psi_3$ (SOMO-3) for  $2\mathbf{a-c}^+$  and  $2\mathbf{e-f}^+$  when an electron-donating group perturbs the MOs,  $\chi_1$ , of the cumyl radical  $3\mathbf{d}^+$  and the cumyl cation  $3\mathbf{d}^+$  with energy  $d$ . Note that although they are generally regarded as electron-withdrawing, Cl and Br are treated as electron-donating groups, which was shown to be the case in our recent work.<sup>[25]</sup> Therefore,  $D$  and  $d$  correspond to  $\Delta E(2^+)$  and  $\Delta E(3^+)$ , respectively. Note that an increase in the energy of  $d$  in  $\chi_1$  gives rise to a roughly equal increase in the energy of  $\varphi_1$  (Figure 8).<sup>[27]</sup> Therefore the energy gap  $D$  is given by Equation (17).

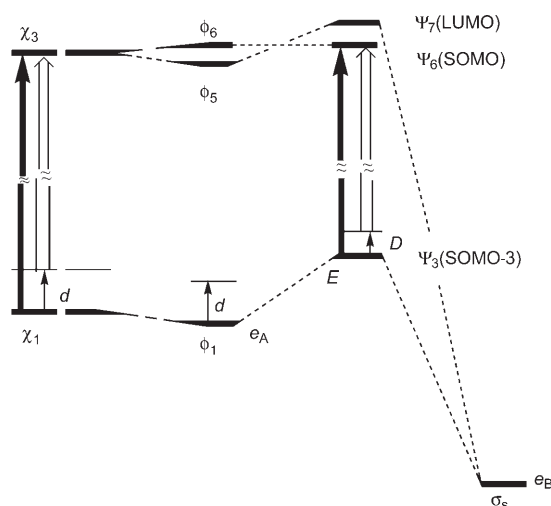


Figure 8. Selected MO diagram that shows the substituent effects in  $2^+$ . The symbols  $e_A$ ,  $e_B$ , and  $E$  represent the energies of the  $\varphi_1$ ,  $\sigma_s$ , and  $\Psi_3$ (SOMO-3) orbitals of  $2\mathbf{d}^+$ , respectively.  $d$  and  $D$  represent the increment in the energy of the  $\chi_1$  (and  $\varphi_1$ ) and  $\Psi_3$ (SOMO-3) orbitals of  $2\mathbf{a-c}^+$  and  $2\mathbf{e-f}^+$ , respectively, induced by substitution of an electron-donating group.

$$E = \{e_A + e_B + [(e_A - e_B)^2 + 4h_{AB}^2]^{1/2}\}/2 \quad (15)$$

$$E + D = \{e_A + d + e_B + [(e_A + d - e_B)^2 + 4h_{AB}^2]^{1/2}\}/2 \quad (16)$$

$$D = \{d + [(e_A + d - e_B)^2 + 4h_{AB}^2]^{1/2} - [(e_A - e_B)^2 + 4h_{AB}^2]^{1/2}\}/2 \quad (17)$$

When no TB interaction occurs and no resonance integral between  $\varphi_1$  and  $\sigma_s$  ( $h_{AB}=0$ ) exists,  $D$  is equal to  $d$  and a large energy gap ( $e_A - e_B \gg 0$ ) is present. However,  $D$  will decrease to  $0.5d$  when there is an effective TB interaction with a large  $h_{AB}$  and a small  $e_A - e_B$ . Therefore, the ratio  $D/d$  changes from 0.5 to unity, as shown in Equation (18):

$$0.5 < D/d < 1 \quad (18)$$

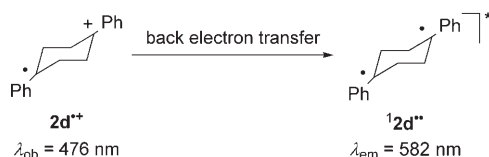
The experimentally observed relative energy ratios  $\Delta E_{ob}(2^+)/\Delta E_{ob}(3^+)$  of 0.6 and 0.4 when  $\sigma^+ < 0$  and  $\sigma^+ > 0$ , respectively [Eqs. (7) and (8)], successfully reproduced by TD-DFT calculations, are close to 0.5 [Eqs. (13) and (14)].<sup>[28]</sup> Thus, TB interactions in  $2^+$  must be near the maximum predicted by orbital interaction theory. In other words, the smaller effect of substituents on the transition energies of  $2^+$  compared with those of  $3^+$ , in spite of the fact that they correspond to the same types of electronic transitions, strongly suggest that substantial TS and TB interactions exist in  $2^+$ .

## Conclusion

The results of this work have demonstrated that the effect of substituents on the absorption spectra of  $2^+$  can be ra-

tionalized by considering TS and TB electronic coupling of the cumyl radical and the cumyl cation components. DFT calculations<sup>[29]</sup> and orbital interaction theoretical considerations suggest that TS and TB interactions are operative in  $2\mathbf{d}^{++}$  and that  $\Psi_3(\text{SOMO}-3)\rightarrow\Psi_6(\text{SOMO})$  transitions are responsible for the characteristic absorption band in the visible region. Therefore, the observed redshift of the  $\lambda_{\text{ob}}$  and the smaller effect of substituents both arise from TS and TB interactions. This investigation has led to the first theoretical explanation of the unusually long wavelength absorption bands observed for aryl-substituted 1,4-radical cations.<sup>[30]</sup> They are often called distonic radical cations. However, this may be a misleading term because its use sometimes implies the separation of spin and positive charge in a molecule. There is of course no such separation in a delocalized structure that results from orbital interactions.

Although we are reluctant to comment on the controversy that involves the acyclic radical cation  $8^{++}$  (see the Introduction), the results of our studies suggest that electronic coupling, probably through TS and TB interactions, rather than intramolecular cycloadditions is a more likely explanation of earlier observations. Similar electronic coupling (but probably involving pseudo- $\pi$  orbitals<sup>[31]</sup>) can explain the long wavelength absorption band of the 1,3-diarylpropane-1,3-diyl<sup>[3a]</sup> and 1,3-diarylcyclohexane-1,3-diyl radical cations.<sup>[2b]</sup> Our results not only provide a meaningful interpretation of the interactions in pure radical cations,<sup>[32]</sup> but they also give information that assists the design of bifunctional radical cations as part of the development of conceptually new chromophores and fluorophores<sup>[6,33]</sup> in which orbital interactions take place between small subunits. In particular, we recently found a new and general strategy to observe short-lived biradicals, such as the singlet-excited state of 1,4-diphenylcyclohexane-1,4-diyl ( $1\mathbf{2d}^{\bullet\bullet}$ ), which is a one-electron reduced species of  $2\mathbf{d}^{++}$  (Scheme 5). Biradical  $1\mathbf{2d}^{\bullet\bullet}$  was ob-



Scheme 5. Generation of the excited singlet biradical  $1\mathbf{2d}^{\bullet\bullet}$  by back electron transfer to  $2\mathbf{d}^{++}$  in the thermoluminescence experiment.

served at  $\lambda_{\text{em}}=582$  nm as thermoluminescence from the excited state of  $1\mathbf{2d}^{\bullet\bullet}$  ( $1\mathbf{2d}^{\bullet\bullet}$ ). This extraordinary long wavelength emission should also be explained by similar significant TS and TB electronic coupling between the two cumyl radical subunits in  $1\mathbf{2d}^{\bullet\bullet}$ .<sup>[33]</sup>

## Experimental Section

**Spectroscopic observation of  $2^{++}$ :** A solution of  $\mathbf{1}$  (10 mM),  $\text{NMQ}^+\text{BF}_4^-$  (1 mM), and BP (0.4M) in aerated  $\text{CH}_2\text{Cl}_2$  (2 mL) in a quartz cell of 1 cm pathlength was irradiated at 308 nm through a focusing lens by using an

excimer laser. The beam diameter at the sample was around 10 mm. The values of the absorbance at selected times (170–280, 270–380, and 470–580 ns, and 1.07–1.18, 2.07–2.18, 5.07–5.18, 10.1–10.2, 20.1–20.2, 50.1–50.2, and 100–101  $\mu\text{s}$ ) after excitation were monitored by using a Xe arc lamp and spectrophotometer. The data collection time was observed by using an oscilloscope. The delay times of the delay unit were 100, 200, and 400 ns, and 1, 2, 5, 10, 20, 50, and 100  $\mu\text{s}$ . The gate width of the image intensifier was 100 ns. To improve the signal-to-noise ratio, each difference absorption spectrum was the result of averaging data from at least five excitation pulses. The monitoring light was directed onto the sample cell with a fiberglass cable. The transmitted beams were led to an image intensifier coupled to a multichannel detector controller with a second fiberglass cable. This detector was interfaced with a personal computer that controlled the necessary optical hardware and electronics during data acquisition, processed the data, and presented the data graphically. The wavelengths of the spectrophotometer were calibrated at 360.8, 418.5, and 536.4 nm with holmium oxide. The difference absorption spectra span wavelength ranges of approximately 350 to 630 or 500 to 780 nm. See the Supporting Information for transient absorption spectra of  $2^{++}$ .

**Spectroscopic observation of  $3^+$ :** A solution of  $\mathbf{11}$  (3 mM) in cyclohexane (4 mL) in a quartz cell of 1 cm pathlength was saturated with argon, degassed by using several repeated freeze ( $-196^\circ\text{C}$ )–pump ( $10^{-2}$  Torr)–thaw (ambient temperature) cycles, and then sealed at  $10^{-2}$  Torr. This solution was irradiated at 266 nm through a focusing lens by using a YAG laser at ambient temperature. The beam diameter at the sample was around 5 mm. Data collection was similar to that described for  $2^{++}$ . The difference absorption spectrum spans a wavelength range of approximately 250–530 nm.

**Spectroscopic observation of  $3^{++}$ :** Absorption spectra of  $3^{++}$  were obtained by using a slight modification of the procedure reported by Sekuur and Kranenburg.<sup>[12a]</sup> A quartz cell that contained  $\text{HSO}_3\text{F}$  (2 mL) was quickly sealed with a rubber septum, saturated with argon by bubbling the gas through the solution, and cooled to  $-78^\circ\text{C}$  in a  $4\times 4$  cm quartz Dewar flask. A solution of  $\mathbf{12}$  (3–6 mM) in MeOH (5–50  $\mu\text{L}$ ) was added to the quartz cell by using a microsyringe. Absorption spectra of  $3^{++}$  were recorded after stirring for 5 min at  $-78^\circ\text{C}$ . See the Supporting Information for the transient absorption spectra of  $3^{++}$ .

**Quantum chemical calculations:** DFT and TD-DFT calculations were performed by using the Gaussian 98 package of programs.<sup>[34]</sup> Figures 4 and 7 were drawn by using WinMOPAC 3.9 software.<sup>[35]</sup> The cartesian coordinates for the optimized structures of  $2\mathbf{d}^{++}$  are given in the Supporting Information.

## Acknowledgements

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- [9] Values for  $\lambda_{\text{ob}}(3\mathbf{d}^{+})$  of 315 (cyclohexane) and 322 nm (cyclohexane) have been reported in refs. [10a] and [10b], respectively.
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- [20] A value for  $\lambda_{\text{ob}}(3\mathbf{a}^{+})$  of 360 nm (CF<sub>3</sub>CH<sub>2</sub>OH) has been reported in ref. [12b].
- [21] Values for  $\lambda_{\text{ob}}(3\mathbf{b}^{+})$  of 337 (HSO<sub>3</sub>F) and 340 nm [(CF<sub>3</sub>)<sub>2</sub>CHOH] have been reported in refs. [12a] and [12b], respectively.
- [22] A value for  $\lambda_{\text{ob}}(3\mathbf{e}^{+})$  of 345 nm (HSO<sub>3</sub>F) has been reported in ref. [12a].
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- [27] Consequently, the possibility of the  $\varphi_3$  or  $\varphi_4 \rightarrow \varphi_5$  transition of  $2\mathbf{d}^{+}$  is ruled out because it cannot explain the observed reduction in the substituent effects.
- [28] The orbital interaction analysis assumes that  $h_{\text{AB}}$  is almost the same in all cases. One of the reviewers suggested that this may not be true because the MOs would have a smaller coefficient on C1/C4 when a substituent is attached to the benzene ring. This assumption may be a cause of the deviation of experimental substituent effects (e.g.,  $E_{\text{ob}}(2^{+})/E_{\text{ob}}(3^{+}) = 0.6$  or  $0.4$ ) from ideal substituent effects (0.5).
- [29] We also performed calculations on  $2^{++}$  without any symmetry by using Hartree–Fock (HF) theory and obtained similar results (a chair molecular geometry and delocalized electronic structure). One of the referees commented that this is strong evidence that such a structure is indeed the correct one. ESR studies on the parent system  $4^{+}$  by Williams and co-workers provide direct evidence for complete delocalization: a) Q.-X. Guo, X.-Z. Qin, J. T. Wang, F. Williams, *J. Am. Chem. Soc.* **1988**, *110*, 1974–1976; b) F. Williams, Q.-X. Guo, D. C. Bebout, B. K. Carpenter, *J. Am. Chem. Soc.* **1989**, *111*, 4133–4134.
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